

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Applicant(s):</b>	Thomas Dietz, et al.	<b>Examiner:</b>	Unassigned
<b>Serial No:</b>	To be assigned	<b>Art Unit:</b>	Unassigned
<b>Filed:</b>	Herewith	<b>Docket:</b>	16853
<b>For:</b>	AMPHIPHILIC ORGANOPOLYSILOXANES HAVING POLYESTER GROUPS AND USE THEREOF AS EMULSIFIERS OR DISPERSING AGENTS	<b>Dated:</b>	September 18, 2003

Commissioner for Patents  
United States Patent Office  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 5,475,125, issued December 12, 1995 to O'Lenick, Jr.;
2. U.S. Patent No. 5,411,729, issued May 2, 1995 to O'Lenick, Jr.;

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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Alexandria, VA 22313-1450.

Dated: September 18, 2003

  
Leopold Presser

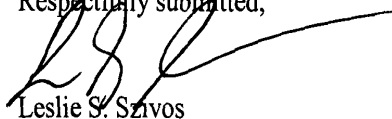
3. U.S. Patent No. 5,385,730 , issued January 31, 1995 to Ichinohe;
4. U.S. Patent No. 5,051,489, issued September 24, 1991 to O'Lenick, Jr.;
5. U.S. Patent No. 4,988,504, issued January 29, 1991 to Zotto, et al.;
6. European Patent Application No., 0 407 089 B1 issued March 10, 1993;
7. European Patent Application No., 0 176 844 B1 issued December 11, 1991;
8. European Patent Application No., EP 0 819 426 A2 issued January 21, 1998;  
and
9. Abstract of Japanese Patent No., 04149232 A issued May 22, 1992.

The relevance of European Patent Application No., 0 176 844 B1 and European Patent Application No., EP 0 819 426 A2 is discussed in the application at page five, lines four to seven.

In accordance with the schedule set out in 37 C.F.R. § 1.98 (a)(2)(i), applicants are not required to submit a copy of the above-cited U.S. Patent references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

  
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Enclosure      PTO 1449

<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				Atty. Docket No. 16853		Serial No. Unassigned	
				Applicant Thomas Dietz, et al.			
				Filing Date Herewith		Group Unassigned	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
		5,475,125	12/12/95	O'Lenick, Jr.			
		5,411,729	05/02/95	O'Lenick, Jr.			
		5,385,730	01/31/95	Ichinohe			
		5,051,489	09/24/91	O'Lenick, Jr.			
		4,988,504	01/29/91	Zotto, et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
	1	0 407 089 B1	03/10/93	EPO			
	2	0 176 844 B1	12/11/91	EPO			
	3	EP 0 819 426 A2	01/21/98	EPO			
		JP 04149232 A	05/22/92	Japan			X
<b>OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
EXAMINER				DATE CONSIDERED			
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							